

DriveWealth

Fee Schedule

Commission Rates

DriveWealth Classic

Whole Shares: \$0.0125 per share (minimum of \$2.99 per transaction)

Fractional Shares: \$0.99 per transaction

Broker Assisted (Phone): \$10.00

DriveWealth Unlimited

Monthly: \$5.99 per month at \$0.01 per share*

Quarterly: \$14.99 every 3 months at \$0.01 per share*

Margin Interest

Current Fed Funds Rate + 400 basis points

Transfers

ACAT Out (USA): \$50.00**

ACAT Out (Intl.): \$100.00**

ACAT Incoming: FREE**

**ACAT pass-through fee: \$0.10 per position for both inbound and outbound transfers.

DWAC Transfer: \$75.00 per position

DTC Deliveries / Receives: \$15.00 per position

DRS Transfer Incoming: \$50.00

Miscellaneous

Returned Checks: \$20.00

Check Stop Payments: \$25.00

Returned ACH: \$20.00

Overnight Check Delivery: \$20.00

Returned Wire Transfers: \$25.00
(applies to attempted third-party wires)

Tax Certification (W-8): \$5.00 per account

1099 Request for Exempt Accounts: \$50.00

Tax Document Request: \$25.00
(Fax & Regular Mail)

Physical Copy of Trade Confirmations: \$3.00 per confirmation

Physical Copy of Monthly Account Statements: \$5.00 per statement

Withdrawal Administrative Fees

Paper Check | e-Check (USD): \$3.00

ACH (Dwolla): \$3.00

Outgoing Domestic Wire Transfer: \$25.00

Outgoing International Wire Transfer: \$35.00

*Pass-Through Fees

SEC Fee (sell side only): \$0.13 per \$10,000 of sales proceeds

TAF Fee (sell side only): \$0.000119 per share (maximum of \$5.95)

ADR Fee: The amount varies by ADR. Please see following link for additional details: [ADR FEE](#)

Pass-through fee's are rounded up to the nearest penny

[Funding & Withdrawal Policies](#)

Commission rates and fees subject to change